

seafarerfunds.com · 855-732-9220

ENTITY ACCOUNT APPLICATION

Important Information About Procedures for Opening a New Account: To help the government fight financial crime, Federal law requires certain financial institutions to obtain, verify and record information that identifies each person who opens an account, including major beneficial owners of legal entity customers.

What this means to you: When you open an account, we will ask for your name, the account name, address, date of birth, social security or tax identification numbers, and other information that will allow us to identify you and the beneficial owners of entity for which the account is established, if applicable. Additional documentation will be required for the establishment of trust, corporate, or other types of account ownership, including, but not limited to: appropriate documentation to substantiate the existence of the organization, authorized parties, major beneficial owners and other control persons. We may determine that we are unable to verify the information or personal information provided and may choose to refuse the account until we can verify the information provided.

Who must complete this form: This form must be completed by the person opening a new mutual fund account on behalf of a legal entity.

For the purposes of this form, a legal entity includes a corporation, limited liability company, or other entity that is created by filing of a public document with a Secretary of State or similar office, a general partnership, and any similar business entity formed in the United States. Legal Entity does not include sole proprietorships, unincorporated associations, or natural persons opening accounts on their own behalf.

Required Information: This form requires you to provide the name, address, date of birth and Social Security number for the following individuals (i.e. beneficial owners):

- * Each individual, if any, who owns, directly or indirectly, 25% or more of the equity interests of the legal entity customer; and
- * An individual with significant responsibility for managing the legal entity customer (e.g., a Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, President, Vice President or Treasurer); and
- * The Trustee or Authorized person completing this form.

The number of individuals that satisfy this definition of "beneficial owner" may vary. At least one individual must be identified under Section 2, below as a beneficial owner. Depending on the factual circumstances, up to four individuals (but as few as zero) may need to be identified in Section 2, below as individuals owning 25% or more equity interest.

Please select one: U.S. Corporation or Entity Non-U.S. Corporation or Entity

Corporation/Entity Name

In general, accounts are available only to U.S. Corporations or Entities.

SECTION 1: Account Registration							
□ S-Corporation □ C-Corporation	🗆 Trust*	Estate	🗆 Gov. Entity		Partnership	🗆 Bank	Non-Profit
ERISA Plan Retirement Plan	Other						
Check here if the entity/organization is an exempt payee							

Note: Please include copies of any certified trust documents, articles of incorporation, business licenses, or partnership agreements. *Estates and individual, family or retail trusts do not complete Section 2.

Trust Date or Date of Inc (MM/DD/YY)		Tax ID Number (Used for Tax Reporting Purposes)				
Address of Entity - P.O. I	Box is not accepted	City, State, Zip Code				
Mailing Address - If diffe	erent from above (P.O. Boxes accepted)	City, State, Zip Code				
()	()					
Day Phone	Evening Phone	Email Address				
Name of Trustee/Auth	orized Person					
Social Security Number		Date of Birth (MM/DD/YY)				
Mailing Address - (P.O. E	Boxes accepted)	City, State, Zip Code				

SECTION 1: ACCOUN	t Registration (cont	mueu)	
Name of Co-Trustee/Aut	horized Person		
Social Security Number			Date of Birth (MM/DD/YY)
Mailing Address - (P.O. Bo	exes accepted)		City, State, Zip Code
SECTION 2: Benefic	ial Owners		
Failure to provide inform documentation requirementation			y establishment of the account. If you believe that your entity is exempt from this
If it is determined that th	is exemption is not ap	blicable, you will be rec	quired to provide the information requested to establish the account.
Individuals with control of Individuals listed in this s of one individual must be	ection are not authorize	ed signatories for this a	account unless separate trading authorization and signors list is provided. A minimun
□ Control Person same a	as Section 1.		
Country of Citizenship:	U.S. Citizen	Other	
Name			
Social Security Number			Date of Birth (MM/DD/YY)
Address of Residence - P.	O. Box is not accepted		City, State, Zip Code
Country of Citizenship:	U.S. Citizen	Other	
Name			
Social Security Number			Date of Birth (MM/DD/YY)
Address of Residence - P	0. Box is not accepted		City, State, Zip Code
Country of Citizenship:	U.S. Citizen	Other	
Name			
Social Security Number			Date of Birth (MM/DD/YY)
Address of Residence - P	0. Box is not accepted		City, State, Zip Code
Individuals with 25% or I	More Equity Interest o	the Entity	
Same as Listed Above If direct Beneficial Owr		ide further documenta	tion of underlying Beneficial Owners.

 $\hfill\square$ If no Beneficial Owners hold greater than 25%, check here.

SECTION 2: Benefic	ial Owners (continu	ed)		
Country of Citizenship:	U.S. Citizen	Other		
Name				
Social Security Number			Date of Birth (MM/DD/YY)	
Address of Residence - P.	0. Box is not accepted		City, State, Zip Code	
Country of Citizenship:	U.S. Citizen	Other		
Name				
Social Security Number			Date of Birth (MM/DD/YY)	
Address of Residence - P.	O. Box is not accepted		City, State, Zip Code	
Country of Citizenship:	U.S. Citizen	Other		
Name				
Social Security Number			Date of Birth (MM/DD/YY)	
Address of Residence - P	O. Box is not accepted		City, State, Zip Code	
Country of Citizenship:	U.S. Citizen	Other		
Name				
Social Security Number			Date of Birth (MM/DD/YY)	
Address of Residence - P.	O. Box is not accepted		City, State, Zip Code	
SECTION 3: Investm	ent Selection			

How would you like to make your initial fund purchases?

- □ Check Make your personal or rollover check payable to Seafarer Funds and enclose it with your application.
- **Electronically** Make a one-time withdrawal from the bank account listed in Section 6 for the amount indicated below.
- □ Wire For wiring instructions call Investor Services at 1-855-732-9220.

Expected Wire Date (MM/DD/YY)

Investment Minimum: Investor Class: \$2,500 (Reduced to \$1,500 if Automatic Investment Plan is established) Institutional Class: \$25,000 (Reduced to \$1,500 if Automatic Investment Plan is established)

* Please note: the Seafarer Overseas Growth and Income Fund's Investor Class (SFGIX) is closed to most new investors. If you have any questions, please call Investor Services at 1-855-732-9220.

Fund Name	Ticker	Investment Amount
Seafarer Overseas Growth and Income Fund - Institutional Class	SIGIX	\$
Seafarer Overseas Growth and Income Fund - Investor Class	SFGIX	\$
Seafarer Overseas Value Fund - Institutional Class	SIVLX	\$
Seafarer Overseas Value Fund - Investor Class	SFVLX	\$
Total		\$

❑ Yes (Please complete below)	
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🖵 No

This option allows you to make automatic investments into your Seafarer Funds account directly from your bank checking or savings account. **The minimum automatic investment is \$100 per month or quarter.**

Fund Name	Ticker	Automatic Investment Amount
Seafarer Overseas Growth and Income Fund - Institutional Class	SIGIX	\$
Seafarer Overseas Growth and Income Fund - Investor Class	SFGIX	\$
Seafarer Overseas Value Fund - Institutional Class	SIVLX	\$
Seafarer Overseas Value Fund - Investor Class	SFVLX	\$
Total		\$
How often would you like to make automatic investments?		
C Monthly C Quarterly		

Begin your term in which month? (e.g. April) ______ On or about which date? (e.g., 2nd, 15th) _____

If no date is specified, the term will begin in the next month and withdrawals will be made on or near the 15th. **Please note, the date of your first automatic investment should be at least 3 days after this request.**

Please provide bank account information in Section 6.

SECTION 5: Distribution Options

Please complete this section to elect a distribution option. If no option is selected, all dividends and capital gains will be reinvested. Distributions in cash will be deposited in the bank account of record. If the cash distribution option is selected, please provide **bank account information** in Section 6. If no bank account information is provided, a check will be mailed to the address of record.

Dividend distribution: Cash

Capital gains distribution: Cash Capital gains distribution: Cash

SECTION 6: Bank Account Information

Please provide bank account information in order to:

- Make your initial fund purchase via one-time withdrawal from your account
- Establish an Automatic Investment Plan
- Have cash distributions deposited into your account
- Execute transactions by telephone or online at seafarerfunds.com

Account type: Checking Savings

Name on Bank Account

Bank Name

ABA Routing Number (First 9 digits at the bottom of check or deposit slip)

Bank Account Number (Second set of numbers at the bottom of check or deposit slip)

Please attach a voided check or deposit slip from the specified bank account.

I authorize Seafarer Funds to initiate credit and debit entries to my account at the bank that I have indicated. I further agree that Seafarer Funds will not be held accountable for any loss, liability, or expense for acting upon my instructions. It is understood that this authorization may be terminated by me at any time by written notification to Seafarer Funds. The termination request will be effective as soon as Seafarer Funds has had reasonable time to act upon it.

SECTION 7: Telephone and Online Access

As a shareholder, you will automatically have access to your account via our automated telephone and online account services unless you specifically decline access below.

□ I DO NOT want telephone access. □ I DO NOT want online access.

- a. Online Account Access (including transaction capability): Once you receive your Seafarer Funds account number, go to seafarerfunds.com and click on Account Login. You will be prompted to establish a user ID and password.
- b. Email Communications: Visit seafarerfunds.com to sign up to receive shareholder letters and portfolio reviews by email.

SECTION 8: eDelivery of Shareholder Documents

eDelivery allows you to receive electronic versions of your shareholder documents, including account statements and shareholder reports. To sign up for eDelivery, you must first establish online account access. Once you receive your Seafarer Funds account number, go to **seafarerfunds.com** and click on **Account Login**. You will be prompted to establish a user ID and password.

SECTION 9: Cost Basis Method

Applies to tax reportable account types only. The cost basis of covered shares, generally shares acquired on or after January 1, 2012, is determined using the fund's default method, unless you elect a different method below. Please check one box.

- Average Cost (ACST) **Default Cost Basis Method**
- Last In, First Out (LIFO)
- High Cost (HIFO)

First In, First Out (FIFO)
 Low Cost (LOFO)
 Loss Gain Utilization (LGUT)

Specific Share Identification (SLID) Secondary Method* _____

*If you elect Specific Share Identification, you may also elect a Secondary Method, other than Average Cost, that will apply when lots are not specified. If a Secondary Method is not elected, the default is FIFO.

The method you elect will apply to covered shares for funds established under this account, including funds you may acquire at a later date, unless you instruct us otherwise. If available, cost basis for noncovered shares, generally shares acquired before January 1, 2012, is determined using the Average Cost method. Non-covered shares are redeemed prior to covered shares unless otherwise specified at the time of the redemption.

To determine which cost basis method is appropriate for your tax situation, please consult a qualified tax professional.

SECTION 10: Signature(s)

I have received and read the Prospectus and the Privacy Policy for the Funds in which I am investing and agree to the terms therein. I am responsible for reading the Prospectus and Statement of Additional Information, or supplements thereto of any fund into which I exchange.

I authorize Seafarer Funds and its agents to act upon instructions (by phone, in writing or other means) believed to be genuine for this account or any account into which exchanges are made. I agree that neither Seafarer Funds nor its agents and affiliates will be liable for any loss, cost or expense for acting on such instructions, provided the Fund employs reasonable procedures to confirm that instructions are genuine.

I certify that the beneficial owner information provided is true and correct and that I am authorized to act on behalf of the legal entity.

Per state requirements, possession or ownership of property may be transferred to the appropriate state if no activity occurs in the account within the time period specified by state law.

Under penalties of perjury, I certify that:

- 1. The numbers shown on this form are correct taxpayer identification numbers, and
- 2. I am/we are not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- 3. I am a U.S. Citizen or other U.S. person (as defined in the IRS Form W-9 instructions), and
- 4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. Item 4 above does not apply if you are submitting this form for an account maintained in the United States.

If you do not provide a correct taxpayer identification number, you may be subject to a \$100 IRS penalty.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Signature of Trustee/Authorized Person

Date (MM/DD/YY)

Date (MM/DD/YY)

Signature of Trustee/Authorized Person

Please mail completed form to:

Seafarer Funds P.O. Box 219623 Kansas City, MO 64121

or Fax to 866-205-1499

If you have any questions, please contact an Investor Service Representative at 1-855-732-9220 or visit seafarerfunds.com.

For Broker/Dealer Use Only		
Broker/Dealer Name	Broker/Dealer Number	
Representative Name	Representative Number	
Street Address (Street, City, State, Zip Code)		
Representative Phone Number		